Fiscal Year 2022 Nonprofit Security Grant Program Sub-Applicant Quick Start Guide

What is the NSGP?

The NSGP is a competitive grant program appropriated annually through the Department of Homeland Security (DHS) and administered by the Federal Emergency Management Agency (FEMA). It is intended to help nonprofit organizations increase their physical security posture against acts of terrorism as defined by law. Eligible organizations are registered 501(c)(3) nonprofits or otherwise are organizations as described under 501(c)(3) of the Internal Revenue Code (IRC) and tax-exempt under section 501(a) of the IRC. Find more information on tax-exempt organizations at IRS.gov.

Tip: As new program guidance is published annually, ensure that you have read the most current NSGP Notice of Funding Opportunity (NOFO) and Preparedness Grants Manual <u>PGM</u> thoroughly. Successful NSGP subrecipients must comply with all applicable requirements outlined in the NOFO and PGM. (Note: Publications are updated annually based on the fiscal year (FY). FY 2021 and prior publications should be used as historical references only since program priorities and requirements can change every year.)

How to Apply:

To apply for NSGP funds, interested nonprofit organizations must apply through their State Administrative Agency (SAA), which is IEMA in Illinois. IEMA will provide Illinois specific deadlines and supplemental application materials or requirements. Nonprofit organizations must fully answer each question in all the sections of the Investment Justification(s). In their Investment Justification (IJ), nonprofit organizations should summarize the most critically important, impactful, and salient information. You may submit up to three (3) Investment Justifications, which function as an application document, per organization for up to three (3) unique physical locations/addresses. You must submit one (1) unique Investment Justification form and required documents as part of a complete submission package for each physical location/unique address. Each Investment Justification can request up to \$150,000 per location for a total of \$450,000 across three (3) Investment Justifications for three (3) unique physical locations/addresses. The amount of funding requested, and number of submissions, may **not** exceed these limits. Nonprofit organizations must have a Unique Entity Identifier (UEI), which is obtained through SAM.gov . Nonprofit organizations must register in SAM.gov to obtain the UEI. Guidance on obtaining a UEI in SAM.gov can be found at GSA UEI Update and SAM.gov Update .

Tip: NSGP has two funding streams: NSGP-State (NSGP-S) and NSGP-Urban Area (NSGP-UA). Identify and apply for the proper funding stream (NSGP-S OR NSGP-UA) based on the physical geographical location/address of the facility and whether or not it is within a high-risk urban area. Cook County is the only eligible NSGP-UA in Illinois. All other counties in Illinois will apply using the NSGP-S funding stream. Applications submitted to the incorrect funding stream will not be considered.

Application Elements

The following materials listed below (Mission Statement, Vulnerability Assessment, IJ), including additional required information (Applicant Contact Information, W-9 and Tax-Exempt Statement) must be submitted to IEMA as part of a complete application package. A submission that is missing any of the required documents will be considered incomplete and will not be reviewed.

Mission Statement

A mission statement is a formal summary of the aims and values of an organization. The three components of a mission statement include the purpose, values, and goals of the organization. The provided statement should discuss the "who, what, and why" of your organization.

Tip: It is highly recommended that the mission statement is documented on official letterhead. This element helps inform and validate a nonprofit organization's categorical self-identification based on its ideology, beliefs, mission, function, or constituency served/supported.

Vulnerability Assessment

A vulnerability assessment is used to identify and validate physical security deficiencies of your organization/facility and is the foundation of an NSGP application. Vulnerability assessments can be provided in the form of a Cybersecurity and Infrastructure Security Agency (CISA) Facility Security Self-Assessment, state or local law enforcement assessment, contractor assessment, or other valid method of assessment (any format deemed appropriate by applicant). The vulnerably assessment is uniquely different than a risk/threat assessment: in essence, a risk assessment involves looking outside of an organization to determine external threats that exist that could potentially lead to security issues, whereas a vulnerability assessment involves looking inside the organization for internal vulnerabilities and weaknesses. Projects/activities requested through the NSGP should align to mitigate items identified in the vulnerability assessment.

Tip: In preparation to describe how they intend to use NSGP grant funding, non-profit organizations should think broadly and holistically in their approach to security measures designed to protect buildings and safeguard people. Some physical security control examples include locks, gates, and guards (e.g., contract security). Although these may be effective measures, there are many additional layers to physical security that can help protect the organization, including creating comprehensive physical security plans, conducting training and exercises (e.g., active shooter, evacuation), identifying countermeasures against intrusion (e.g., access controls), preventing physical security breaches (e.g., security enhanced doors/windows), and monitoring for physical security threats (e.g., cameras, surveillance). Descriptions of allowable costs and activities can be located in the NOFO and the PGM. Unallowable costs will not be reimbursed.

Investment Justification

The Investment Justification is a fillable template provided and required by FEMA (which will be made available through IEMA and Grants.gov) that asks nonprofits to describe the organization, risks/threats to the organization, and proposed projects/activities to mitigate security deficiencies (as identified in the vulnerability assessment) utilizing NSGP funding.

Supplemental Documents

Each state is unique in how they manage and administer the NSGP. Supplemental items such as police reports, description of incidents or threats are encouraged by IEMA, but not required. However, when preparing the Investment Justification, organizations must answer questions completely and cannot rely on references to or cite page numbers of any supplemental documents as they are not submitted to nor reviewed by FEMA. Only the Investment Justification is submitted to FEMA by IEMA.

Scoring and Funding Recommendations

Upon submission of your completed application package to IEMA, the state will review, score, and rank every complete application it has received from eligible nonprofit organizations. The results of the scoring process will be forwarded to FEMA and will inform the federal review of the Investment Justifications based on the criteria outlined in the NSGP NOFO. Following the federal review and based on a combination of state and federal scoring, nonprofit organizations are recommended for funding. The final list of recommended nonprofit organizations to be funded is provided to the Secretary of Homeland Security for final approval.

Investment Justification Checklist

Nonprofit organizations must fully answer each question in all the sections of the Investment Justification(s) for the form to be considered complete. In their Investment Justification, nonprofit organizations should summarize the most critically important, impactful, and salient information. The Investment Justification is the only document submitted to FEMA by IEMA and should be crafted using the identified threats/risks to your organization, the results of the vulnerability assessment of a physical location/structure/building, and details of the requested projects/activities to mitigate or remediate those vulnerabilities with associated estimated costs. Nonprofit organizations should describe their current threat/risk. While historic risk may be included for context, the Investment Justification should focus on current threats and risks.

Reminder: Applicants may submit up to three (3) Investment Justifications with one (1) unique Investment Justification form and required documents for each unique physical location/address. Each Investment Justification can request up to \$150,000 per location for a total of \$450,000 across three unique physical locations/addresses between the NSGP-UA program and NSGP-S program. The amount of funding requested, and number of submissions, may not exceed these limits. Below is the Investment Justification Checklist that includes the required contents of a complete NSGP Investment Justification:

Section I – Applicant Information

- Legal Name of the Organization/Physical Address of the Facility/County
- Year the Original Facility was Constructed
- Owning vs. Leasing and Permission to Make Enhancements
- Year the Organization Began Operating from the Facility
- Other Organizations in Facility
- Mission Statement Summary
- Organization Type
- Organization's Affiliation
- 501(c)(3) Tax-Exempt Designation
- Unique Entity Identifier (UEI) obtained via the <u>System for Award Management</u> (replaces DUNS)
- Funding Stream
- Designated high-risk urban area (if applicable)
- Federal Funding Request (total estimated cost of projects/activities)

Section II - Background

 Describe the symbolic value of your organization's site as a highly recognized national or historical institution, or significant institution within the community that renders the site a possible target of terrorism. Describe any role in responding to or recovering from terrorist attacks, specifically highlighting
the efforts that demonstrate integration of nonprofit preparedness with broader state and local
preparedness efforts.

Section III - Risk

- Threat: Describe the identification and substantiation of specific threats, incidents, or attacks against the nonprofit organization or a closely related organization, network, or cell (examples include police report, insurance claim, internet threats, etc.).
- Vulnerability: Describe your organization's susceptibility to destruction, incapacitation, or exploitation by a terrorist attack.
- Consequence: Describe potential negative effects/impacts on your organization's assets, systems, and/or function if disrupted, damaged, or destroyed due to a terrorist attack.

Section IV – Facility Hardening

- Describe how the proposed projects/activities will harden (make safer/more secure) the facility and/or mitigate the identified risk(s) and/or vulnerabilities based on the vulnerability assessment.
- Describe how the proposed target hardening focuses on the prevention of and/or protection against the risk/threat of a terrorist attack.
- Confirm that the proposed projects are allowable in accordance with the priorities of the NSGP (NOFO, <u>PGM</u>).
- Confirm that the proposed projects are feasible (meaning there is a reasonable expectation based on predicable planning assumptions to complete all tasks, projects and/or activities within the subaward period of performance) and proposed milestones under the NSGP.

Section V - Milestones

• Describe any key activities that will lead to milestones in the program/project and grants management over the course of the NSGP grant award period of performance.

Section VI - Project Management

- Describe the proposed management team's roles, responsibilities, and governance structure to support the implementation of the projects/activities.
- Assess the project management plan/approach.

Section VII – Impact

 Describe the outcome and outputs of the proposed projects/activities that will indicate that the investment was successful.

Funding History

Include past funding amounts and projects under NSGP.

Definitions

 Vulnerability Assessment: The vulnerability assessment is a documented review of your facility that identifies gaps in security. Addressing gaps as they are identified in the vulnerability assessment keeps a facility and its occupants, visitors, or members safer. This document is the foundation of an NSGP application.

- Underserved Communities or Populations: Communities and populations who traditionally face
 barriers in accessing and using publicly available resources, and includes those underserved
 because of geographic location, religion, sexual orientation, gender identity, underserved racial
 and ethnic populations, underserved because of special needs (such as language barriers,
 disabilities, alienage status, or age), and any other community or population determined to be
 underserved by the Secretary of the Department of Homeland Security, as appropriate.
- Subapplicant/Subrecipient: Individual nonprofit organizations are considered the subapplicants
 or the subrecipients of the NSGP grant. IEMA is the primary applicant and recipient. Each
 nonprofit organization must individually submit an application to IEMA, who will then submit it
 to FEMA for consideration, but the award itself will be made directly to the state or territory's
 SAA, which is IEMA. IEMA will then manage the grant and be the main point of contact for the
 nonprofit organizations for everything related to their grant award.
- Period of Performance: The period of performance is the length of time that recipients and subrecipients have to implement their project(s), accomplish all goals, and expend all grant funding. The period of performance under the NSGP is 36 months for IEMA. However, given the IEMA has a high level of administrative burden in managing the NSGP, typically a shorter period of performance than 36 months is given to nonprofit subrecipients. There may be situational extensions to the period of performance based on undue hardships, but recipients and subrecipients should not assume any extensions will be granted and plan for full project completion within the designated period of performance. All costs must be incurred, and all services or goods must be completed or delivered within the period of performance. Unless the subrecipient and IEMA have requested and received approval from FEMA for pre-award costs, any expenditures made prior to official notification of award from the IEMA and before the start of the subrecipient's period of performance will be considered unallowable.
- High-risk Urban Area: High-risk urban areas are metropolitan locations designated in FEMA's
 Urban Area Security Initiative (UASI) program each year based on the 100 most populous
 metropolitan statistical areas (MSAs). Nonprofit organizations with physical locations in one of
 those high-risk urban areas are eligible under the NSGP-Urban Area (UA) program. This is Cook
 County in Illinois; all other nonprofits in Illinois are eligible under the NSGP-State (S) program. If
 a nonprofit does not apply for the correct funding stream based on location, the application will
 be automatically eliminated.
- State Administrative Agency (SAA): SAAs are the designated state and territory offices that
 manage the NSGP awards. These offices are the primary applicants to and recipients of NSGP
 funds. IEMA is the SAA for Illinois. IEMA will make NSGP subawards to subrecipients (e.g.,
 nonprofit organizations).
- Potential for an adverse outcome assessed as a function of hazard/threats, assets and their vulnerabilities, and consequence. In the context of NSGP applications, nonprofit organizations should describe their current threat/risk of terroristic attack and how those identified vulnerabilities (in the vulnerability assessment) could potentially be exploited.
- Indication of potential harm to life, information, operations, the environment and/or property; may be a natural or human-created occurrence and considers capabilities, intentions, and attack methods of adversaries used to exploit circumstances or occurrences with the intent to cause harm
- Physical feature or operational attribute that renders an entity open to exploitation or susceptible to a given hazard; includes characteristic of design, location, security posture, operation, or any combination thereof, that renders an asset, system, network, or entity susceptible to disruption, destruction, or exploitation.

- Effect of an event, incident, or occurrence; commonly measured in four ways: human, economic, mission, and psychological, but may also include other factors such as impact on the environment.
- Terrorism: Any activity that: 1. Involves an act that: A) is dangerous to human life or potentially destructive of critical infrastructure or key resources; and B) is a violation of the criminal laws of the United States or of any State or other subdivision of the United States; and 2. Appears to be intended to: A) intimidate or coerce a civilian population; B) influence a policy of a government by intimidation or coercion; or C) affect the conduct of a government by mass destruction, assassination, or kidnapping.

Resources

This section contains a list of resources that NSGP applicants may find useful in the development of their Investment Justifications. Potential applicants can use the links listed below to access information and resources that can assist in the NSGP application process and project implementation. Resources referring to FY 2021 are provided for historical reference only.

Department of Homeland Security (DHS) Federal Emergency Management Agency (FEMA), Grant Programs Directorate

Program Overview: Nonprofit Security Grant Program

State Administrative Agency (SAA) Contact List: State Administrative Agency (SAA) Contacts

NSGP Notices and Documents: Notice of Funding Opportunity

Grants Management Requirements and Procurement Under Grants: FEMA Grants

Preparedness Grants Manual: <u>Preparedness Grants Manual</u> (See Appendix C for NSGP-specific information)

Grants Preparedness Webinar: Preparedness Webinars

Investment Justification Template: Home | GRANTS.GOV (Keyword Search: "FY 2022 NSGP")

Grants Management Technical Assistance Online Training: Grants Management

Grants Learning Center and Resources: Learn Grants

Procurement Under Grants Resources Page: Contracting with Federal Funds for Goods and

Services Before, During and After Disasters | FEMA.gov Authorized Equipment List: Authorized Equipment List

Environmental Planning and Historic Preservation Information: **Environmental** Planning and Historic

Preservation (EHP) Compliance

General NSGP Inquiries and Listserv Sign Up: FEMA-NSGP@fema.dhs.gov

DHS Cybersecurity and Infrastructure Security Agency (CISA)

Faith-Based Organization Security Resources: <u>Faith Based Organizations</u> and <u>Houses of Worship</u> Mitigating Attacks on Houses of Worship: <u>Mitigating Attacks on Houses of Worship Security</u> Guide

House of Worship Self-Assessment: <u>Houses of Worship Security Self- Assessment</u> and <u>Houses of Worship Security Self-Assessment User Guide and Survey</u>

Promoting Vigilance through the Power of Hello: <u>Employee Vigilance - Power of Hello</u> and Power of Hello Translations

De-Escalation for Critical Infrastructure Owners and Operators: De-escalation Series

Securing Public Gatherings Resources: Securing Public Gatherings

Hometown Safety and Security Resources: Hometown Security

Active Shooter Resources: Active Shooter Preparedness, Active Shooter Workshop, Translated

Active Shooter Resources, and Emergency Action Plan Guide and Template

Bombing Prevention Resources: Office for Bombing Prevention (OBP) CISA Tabletop Exercise Packages: CISA Tabletop Exercises Packages

Cyber Resources and Assessment Services: Cyber Resource Hub and Cyber Essentials

Security At First Entry (SAFE): <u>CISA SAFE Fact Sheet</u> Personal Security Considerations: <u>All Stakeholders</u>

Tips for Cybersecurity: CISA Tips

Reducing the Risk of a Successful Cyber Attack: Cyber Hygiene Services

Request Assistance from a CISA Protective Security Advisor (PSA): Protective Security Advisors or

Central@cisa.gov

DHS Center for Faith-Based and Neighborhood Partnerships

Resource Overview: <u>Faith-Based and Neighborhood Partnerships</u>
Faith-based and Neighborhood Partnerships: <u>Partnerships Resources</u>
Preparing for Human-Caused or Natural Disaster: <u>Plan Ahead for Disasters</u>

President Biden Reestablishes the White House Office of Faith-Based and Neighborhood

Partnerships: Fact Sheet

Additional Information from HHS Center for Faith-based and Neighborhood Partnerships:

Center for Faith-based and Neighborhood Partnerships

General Inquiries and Listserv Sign Up: Partnerships@fema.dhs.gov

DHS Office for Civil Rights & Civil Liberties

Office Overview: <u>Civil Rights and Civil Liberties</u>

Make a Civil Rights Complaint: <u>Make a Complaint</u>

CRCL Compliance Branch: Compliance Investigations or CRCLCompliance@hq.dhs.gov

Join a Local Roundtable: Community Engagement or

CommunityEngagement@hq.dhs.gov General Inquires: <u>CRCL@dhs.gov</u>

DHS/FEMA Office of Law Enforcement and Engagement Integration

Office Overview: Law Enforcement and Integration

General Inquires or to Share Events:

LawEnforcementEngagement@fema.dhs.gov

DHS Center for Prevention, Programs and Partnerships (CP3)

Center Overview: <u>Center for Prevention Programs and Partnerships</u>
CP3 grant opportunities: <u>Targeted Violence and Terrorism Prevention</u>

If You See Something, Say Something™: Awareness Resources

Countering Terrorism and Targeted Violence: <u>Strategic Framework Resources</u>

Targeted Violence and Terrorism Prevention (TVTP): Community Engagement for TVTP

Risk Factors FAQ Sheet: Risk Factors and TVTP

Building Peer-to-Peer Engagements: Briefing Topic

Joint Counterterrorism Assessment Team publication: <u>First Responder's Toolbox</u>

POC for National Organizations: send email to CP3StrategicEngagement@hq.dhs.go

Request a Community Awareness Briefing: send email to cabbriefingrequests@hq.dhs.gov

General Inquires: TerrorismPrevention@hq.dhs.gov

Department of Justice (DOJ) Community Relations Service

Office Overview: Community Relations Service

Faith and Community Resources: Protecting Places of Worship Forum and Protecting Places of

Worship Fact Sheet

Information on Hate Crimes: Addressing Hate Crimes

General Inquires: <u>Harpreet.S.Mokha@usdoj.gov</u> or <u>askcrs@usdoj.gov</u>

DOJ Civil Rights Division

Division Overview: Civil Rights Division

Contact Civil Rights Division or Report a Violation: Start a Report

U.S. Department of Education

Grant Program Overview: <u>Department of Education Grants</u>
Training and Risk Management Tools: <u>Risk Management Tools</u>

School Safety Resources: Find School Safety Resources

Office of Intelligence & Analysis

Suspicious Activity Reporting (SAR): Nationwide SAR Initiative (NSI)

Safety for Faith-Based Events and Houses of Worship: NSI Awareness Flyer

National Threat Evaluation and Reporting (NTER): NTER Program

Federal Bureau of Investigation (FBI)

Resource Overview: <u>FBI Resources</u> FBI Field Offices: Contact List

Report a Hate Crime: Submit online at FBI Tip form or call 1-800-CALL-FBI

Other Resources

United State Secret Service: <u>National Threat Assessment Center</u> National Strategy for Countering Domestic Terrorism: <u>Fact Sheet</u>